

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)



Application Number	10/720,511
Filing Date	11/24/2003
First Named Inventor	Bruce J. Savatsky et
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2002U022.US

Total Number of Pages in This Submission

4

++references

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Preliminary Amendment / Response	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Form-1449	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> 1449 Form	<input type="checkbox"/> Terminal Disclaimer Two (2)	<u>Postcard</u>
<input checked="" type="checkbox"/> Copies of Cited References	<input type="checkbox"/> Notice to File Corrected Application Papers	
<input type="checkbox"/> Certified Copy of Priority Documents)	<input type="checkbox"/> Substitute Specification	
<input type="checkbox"/> Response to Missing Part/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts		

REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

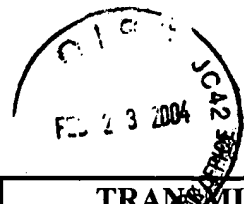
Firm Or Individual name	Osborne K. McKinney	Registration No.	40,084
Signature			
Date	2-20-04		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

February 20, 2004

Typed or printed name	Tammy L. Hodges	Date	February 20, 2004
Signature			



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2002U020.US
In Re Application of: Bruce J. Savatsky et al.			
U.S. Serial No. 10/720,511	Filing Date November 24, 2003	Examiner Unassigned	Group Art Unit Unassigned

Address to:
Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

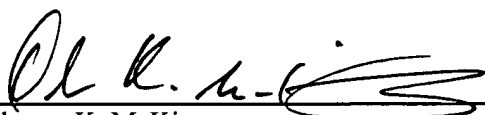
☒ Legible copies of the non-US references are enclosed.

☐ This application is a:
☐ Continuation / Divisional,
☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

2 - 20 - 04
Date


Osborne K. McKinney
Registration No. 40,084
Univation Technologies, L.L.C.
5555 San Felipe, Suite 1950
Houston, Texas 77056-2723
(713) 892-3711 Voice
(713) 892-3687 Facsimile



INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

2002U022.US

SERIAL NO.

10/720,511

APPLICANT

Bruce J. Savatsky et al.

FILING DATE

11/24/2003

GROUP

Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,243,619	01/06/1981	Fraser et al.	264	40.6	
	AB	4,543,399	09/24/1985	Jenkins, III et al.	526	70	
	AC	4,588,790	05/13/1986	Jenkins, III et al.	526	70	
	AD	5,352,749	10/04/1994	DeChillis et al.	526	68	
	AE	5,405,922	04/11/1995	DeChillis et al.	526	68	
	AF	5,436,304	07/25/1995	Griffin et al.	526	68	
	AG	5,442,019	08/15/1995	Agapiou et al.	526	82	
	AH	5,462,999	10/31/1995	Griffin et al.	526	68	
	AI	5,672,665	09/30/1997	Agapiou et al.	526	82	
	AJ	5,753,786	05/19/1998	Agapiou et al.	526	82	
	AK	5,747,612	05/05/1998	Agapiou et al.	526	82	
	AL	6,218,484	04/17/2001	Brown et al.	526	68	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	WO 00/58377	10/05/2000	PCT				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AO	USSN 10/683,711 filed October 10, 2003
EXAMINER	DATE CONSIDERED
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant</p>	